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Wanivenhaus, Florian ; Tscholl, Philippe M ; Aguirre, Jose ; Giger, René ; Fucentese, Sandro F

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# Novel Protocol for Knee Mobilization Under Femoral and Sciatic Nerve Blocks for Postoperative Knee Stiffness

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## abstract

The purpose of this study was to evaluate the effectiveness of intermittent femoral and sciatic nerve blocks combined with an in-house physiotherapy protocol for treating postoperative knee stiffness. Sixty-eight patients with postoperative knee stiffness were evaluated for passive knee flexion and extension at different time points, beginning preoperatively and continuing throughout a median 10-month follow-up after mobilization intervention. Sciatic and femoral nerve catheters were activated 1 hour prior to each physiotherapy session, which was performed twice per day and supported by a continuous passive range of motion machine. Median time from admission to catheter removal was 4 days (range, 1-8 days). Mean hospital length of stay was 7 days (range, 2-19 days). Overall mean flexion increased significantly from pretreatment (74°) to discharge (109°;  $P<.01$ ). There was no significant difference in mean flexion at 6-week follow-up compared with that at discharge (108°;  $P=.764$ ), but there was a significant increase in flexion at final follow-up (120°;  $P=.002$ ). Overall mean knee extension lag decreased significantly from pretreatment (5°) to discharge (0.4°;  $P=.001$ ). There was no significant increase in mean extension lag from discharge to final follow-up (1°;  $P=.2$ ). Overall, 11 patients underwent revision surgery for persistent stiffness. This novel protocol for continuous knee mobilization under perineural blocks is a valuable alternative to knee manipulation under anesthesia for this select group of procedures. The 2 techniques produced a similar early range of motion gain, but the reported protocol resulted in less range of motion loss at follow-up and fewer possible complications. [Orthopedics.]

to the current literature, the incidence of knee stiffness after TKA ranges between 1.8% and 23.0%, depending on the definition of stiffness.<sup>2-4</sup> Small flexion deficits typically do not alter gait, although most people notice unilateral loss of flexion.<sup>5</sup> Extension deficit is generally more disabling than flexion loss because even minimal deficits place undue strain on the quadriceps muscle and patellofemoral joint.<sup>5</sup> Various treatment modalities for stiffness have been described, including mobilization under anesthesia (MUA),<sup>4,6</sup> low-stretch devices,<sup>7</sup> and arthroscopic<sup>1</sup> or open arthrolisis. However, several complications from MUA have been reported, including fractures, wound dehiscence, patellar ligament avulsion, hemarthrosis,

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Arthrofibrosis of the knee is a complication of total knee arthroplasty (TKA) and knee ligament surgery, leading to frustration for both patient

and surgeon.<sup>1</sup> Arthrofibrosis is defined as abnormal scarring of the joint, wherein the formation of dense fibrous tissue prevents full range of motion (ROM).<sup>1</sup> According

heterotopic bone formation, and pulmonary embolism.<sup>8-11</sup>

In the current retrospective study, patients who were scheduled for elective TKA, ligament reconstruction, or a patellofemoral stabilizing procedure leading to insufficient postoperative ROM were treated according to a novel protocol for knee mobilization under intermittent femoral and sciatic nerve blocks and a standardized in-house physiotherapy program.

Treating pain after invasive surgical procedures using continuous perineural catheters in the immediate postoperative period has been reported previously for the in-hospital<sup>12</sup> and at-home settings.<sup>13</sup> However, their application in nonoperative patients and their longer-term, post-infusion effects on ROM remain uninvestigated for the lower extremity.<sup>14</sup>

The current authors' objectives were to (1) evaluate the effectiveness of mobilizing the knee under intermittent perineural blocks and in-house physiotherapy to improve knee flexion and extension, (2) compare the results of patients with post-TKA stiffness with the results of patients who underwent arthroscopic or other open knee operations, and (3) determine whether the gained flexion and extension diminished over time.

## MATERIALS AND METHODS

The Cantonal Ethical Committee approved this retrospective study (#2014-0633). Written informed consent was obtained from each participant.

All patients who required treatment for knee stiffness after knee surgery between January 2008 and July 2013 were included. The inclusion criterion was the presence of knee stiffness after TKA, arthroscopic surgery, or surgery for patellofemoral instability. Knee stiffness was defined as flexion of less than 90° and an extension deficit that negatively affected daily knee joint function. Inclusion in the treatment protocol was based on the lack of progress in knee flexion or exten-

sion loss under outpatient physiotherapy. Exclusion criteria included all causes of knee stiffness attributed to technical factors, such as component malpositioning or sizing errors in TKA patients, graft malplacement in ligament reconstruction cases, or complications such as infection or fracture.

Passive flexion, extension, and total ROM were evaluated in all patients with a standard goniometer preoperatively [time of surgery ( $T_{\text{surgery}}$ )], at the start of the in-house physiotherapy protocol ( $T_{\text{admission}}$ ) (before activation of the femoral and sciatic nerve blocks), at the time of the last activation of the catheters ( $T_{\text{catheter}}$ ), on the day of discharge from the hospital after mobilization ( $T_{\text{discharge}}$ ), at 6-week follow-up (FU) ( $T_{\text{6weekFU}}$ ), and at final follow-up ( $T_{\text{lastFU}}$ ).

For comparative analysis, patients were divided into 3 groups: (1) those with TKA; (2) those with arthroscopy or arthroscopically assisted ligament reconstruction; and (3) those with surgery for patellofemoral instability (medial patellofemoral ligament [MPFL] reconstruction, trochleoplasty, tibial tubercle osteotomy). Two subgroup analyses compared (1) patients undergoing TKA vs others not undergoing TKA and (2) patients who underwent arthroscopic surgery vs those who underwent surgery for patellofemoral disorders.

## Perineural Catheter Protocol

The puncture site for the femoral nerve catheter was located 5 cm below a line joining the anterosuperior iliac spine and the pubic tubercle and 1 to 2 cm lateral to the femoral artery. Femoral nerve block was performed after disinfection and local anesthesia of the skin with 2 mL of lidocaine 1%. The catheter was placed under sterile conditions facilitated by a nerve stimulator technique, wherein a 30° short, beveled, 21-gauge needle (Stimuplex A; Braun Melsungen AG, Melsungen, Germany) was connected to a nerve stimulator (Stimuplex HNS 11; Braun Melsungen AG) with the following initial settings: 1.4

mA current intensity, 0.1 ms impulse duration, 2 Hz frequency. The needle was cranially directed 45° to the skin. After a "dancing patella" response was obtained between 0.3 and 0.4 mA, a 20-gauge catheter with 3 lateral holes (Polymedic; Te Me Na, Bondi, France) was placed using the cannula-over-needle technique. The catheter was secured by subcutaneous tunneling through an 18-gauge intravenous catheter and fixed to the skin with transparent adhesive tape.

The proximal lateral sciatic nerve block on the thigh was performed according to Guardini et al.<sup>15</sup> The puncture point was 2 cm distal to the greater trochanter, and a needle connected to a nerve stimulator (with initial settings previously described) was introduced. Needle placement was successful when plantar flexion of the foot could be elicited with current intensity between 0.3 and 0.4 mA. The catheter was then placed and secured (**Figure 1A**). The catheters were assessed twice daily for signs of infection or dislocation.

## Physiotherapy Protocol

Physiotherapy started after successful catheter placement and injection of 20 and 30 mL of ropivacaine 0.5% through the femoral and the sciatic nerve catheter, respectively. Catheter activation was performed 1 hour prior to each physiotherapy session, which was performed twice daily. The physiotherapy session focused on passive mobilization of the knee joint in supine, prone, and sitting positions with the aim of small gains of ROM during every therapy session (**Figures 1B-D**). The sessions were supported by a continuous passive ROM bedside machine (3 times per day for 1 hour each time) (**Figure 1E**). When a satisfactory ROM plateau was reached, typically after 3 to 4 days, physiotherapy continued under oral analgesics, including nonsteroidal anti-inflammatory drugs, referring to the pain treatment of the World Health Organization (WHO), without activation of the perineural catheters. Once ROM was preserved under oral

analgesics, discharge could occur. A detailed outpatient physiotherapy plan was developed to preserve the gained ROM, with the focus on active exercises (coordination skills, automated motion patterns, strength training).

## Statistical Analysis

Statistical analysis was performed with IBM SPSS Statistics version 20.0 software (IBM Corp, Armonk, New York). The Wilcoxon signed rank test was used to assess comparisons at different time points and among groups. Statistical significance was defined as a *P* value less than .01.

## RESULTS

During the study period, 68 patients (42 women and 26 men; median age, 49 years [range, 18-82 years]) met the inclusion criteria. Median body mass index was 26.1 kg/m<sup>2</sup> (range, 18.3-36.6 kg/m<sup>2</sup>). Two patients underwent 2-stage bilateral treatment. For comparative analysis, the patients were divided into 3 groups determined by the surgical interventions they underwent (**Table 1**).

Mean time from surgery to admission for the in-house physiotherapy program under femoral and sciatic nerve blocks was 96±70 days. Median time from admission for mobilization to discontinuing catheter activation ( $T_{\text{admission}}$  to  $T_{\text{catheter}}$ ) was 4 days (range, 1-8 days). Median hospital length of stay ( $T_{\text{admission}}$  to  $T_{\text{discharge}}$ ) was 7 days (range, 2-19 days). Median final follow-up assessment occurred at 10 months (range, 1-57 months).

Mean overall passive knee flexion increased significantly between admission and catheter discontinuation ( $T_{\text{admission}}$ , 74.2°±22.9°;  $T_{\text{catheter}}$ , 120.2°±11.4°; *P*=.001). There was a significant mean decrease in flexion from the end of catheter treatment to hospital discharge ( $T_{\text{discharge}}$ , 108.9°±18.2°; *P*=.001). There was no significant difference in mean knee flexion at 6-week follow-up compared with that at discharge ( $T_{\text{6weekFU}}$ , 108.4°±20.9°; *P*=.764). However, there was a



**Figure 1:** Femoral and sciatic nerve blocks in place (a). Passive mobilization of the knee by a physiotherapist at the end of the knee mobility exercise (b-d). Mobilization is supported by a continuous passive range of motion bedside machine (e).

Table 1

Standardized Knee Surgeries		
Surgery	No. of Patients (Knees)	Patient Group
Knee arthroplasty	35 (36)	Total knee arthroplasty
ACL reconstruction	8 (8)	Arthroscopy
PCL reconstruction	2 (2)	Arthroscopy
Combined 1-stage ACL and PCL reconstruction	6 (6)	Arthroscopy
Arthroscopic meniscus repair	2 (2)	Arthroscopy
Knee arthroscopy	4 (4)	Arthroscopy
Trochleoplasty	4 (4)	Patellofemoral
MPFL reconstruction	6 (7)	Patellofemoral
Tibial tubercle osteotomy	1 (1)	Patellofemoral

Abbreviations: ACL, anterior cruciate ligament; MPFL, medial patellofemoral ligament; PCL, posterior cruciate ligament.

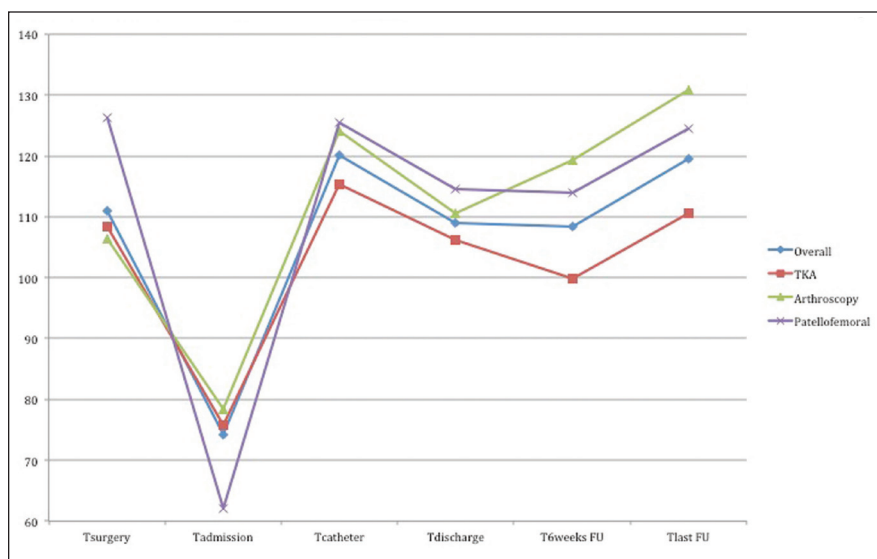
significant increase in mean flexion at final follow-up assessment ( $T_{\text{lastFU}}$ , 119.6°±18.9°; *P*=.002) (**Figure 2**).

Mean overall passive knee extension lag decreased significantly between admission and catheter discontinuation ( $T_{\text{admission}}$ , 5.4°±7.5°;  $T_{\text{catheter}}$ , 0.3°±3.1°; *P*=.001). There was no significant difference in mean extension lag from the end of catheter treatment to the end of the hospital stay during the mobilization program ( $T_{\text{discharge}}$ , 0.4°±3°; *P*=.26). There was a significant increase in mean knee extension lag at  $T_{\text{6weekFU}}$  compared with

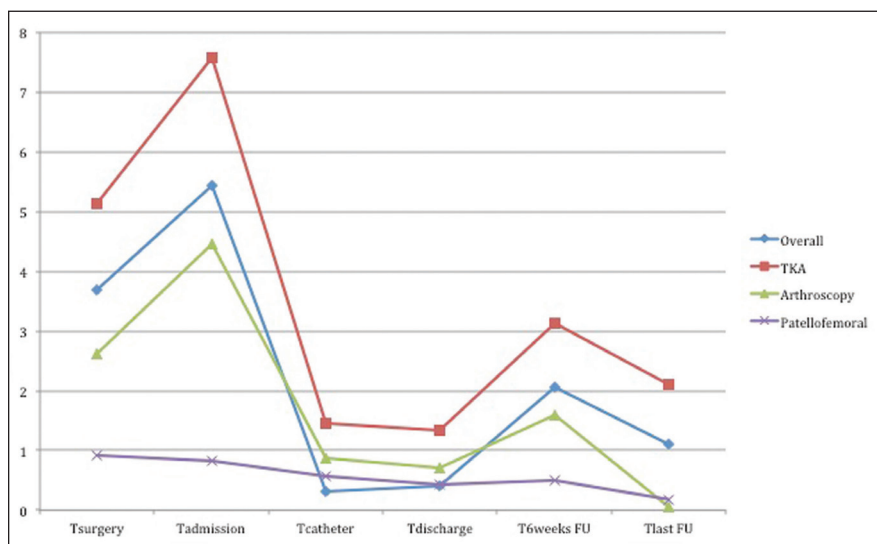
mean extension lag at  $T_{\text{discharge}}$  ( $T_{\text{6weekFU}}$ , 2.1°±4.2°; *P*=.005), but there was no significant difference at final follow-up assessment ( $T_{\text{lastFU}}$ , 1.1°±3.3°; *P*=.2) (**Figure 3**).

The results for the subgroup analysis comparing TKA with other types of knee surgeries and those for the arthroscopy group vs patients who underwent surgery for patellofemoral instability are shown in **Table 2**. There was significantly decreased mean passive knee flexion at the time of catheter discontinuation between patients undergoing TKA and the control





**Figure 2:** Passive knee flexion at various time (T) points during follow-up (FU) for all patients (Overall); those with total knee arthroplasty (TKA); patients with arthroscopy or arthroscopically assisted ligament reconstruction (Arthroscopy); and patients who underwent trochleoplasty, medial patellofemoral ligament reconstruction, or tibial tubercle osteotomy (Patellofemoral).



**Figure 3:** Passive knee extension lag at various time (T) points during follow-up (FU) for all patients (Overall); those with total knee arthroplasty (TKA); patients with arthroscopy or arthroscopically assisted ligament reconstruction (Arthroscopy); and patients who underwent trochleoplasty, medial patellofemoral ligament reconstruction, or tibial tubercle osteotomy (Patellofemoral).

group ( $P=.006$ ). A significantly increased mean knee extension lag was seen at discharge in the TKA group ( $P=.008$ ). Moreover, there was significantly decreased mean knee flexion at 6 weeks and at final follow-up in the TKA group ( $P=.001$ ). There were no significant differences in

ROM at any of the postmobilization time points between the arthroscopy group and the patellofemoral group.

One fall was observed, which was caused by weakness due to an insensate lower extremity after perineural catheter activation, although patients were rou-

tinely fitted with an extension knee brace during the intermittent catheter activation period. Catheter replacement was needed twice in the same patient for incomplete block. The catheters were removed from 1 patient after 6 days because of perifocal soft tissue inflammation. Additional MUA was performed in 9 patients because of no ROM improvement during the first 2 days of mobilization under perineural blocks. Treatment of the extension lag was supported in 4 other patients by a custom-made, low-stretch splint. Eleven patients underwent revision surgery for knee stiffness after final follow-up.

In 2 cases, revision TKA was performed due to remaining stiffness and pain. In 1 case, a secondary patella resurfacing after TKA was performed due to persistent pain and stiffness. In addition, a patellofemoral prosthesis was implanted in 1 case due to persistent pain, stiffness, and patellofemoral arthrosis after an initial tibial tubercle osteotomy. Moreover, a 2-staged revision TKA was performed in 1 case due to a periprosthetic joint infection, which was diagnosed after an initially negative joint aspirate. A revision MPFL reconstruction was performed due to persistent stiffness and pain in 3 cases. Finally, a revision arthroscopy for hardware removal and debridement was performed in 2 cases.

## DISCUSSION

The main finding of this study was that mobilization of stiff knees under intermittent femoral and sciatic nerve blocks followed by physiotherapy is a feasible method for improving passive knee flexion and extension. The improved knee flexion was preserved at least until the final follow-up assessment at a median of 10 months. Mean extension lag was significantly decreased by this treatment and was maintained until final follow-up. Immediately after perineural catheter removal, knee flexion significantly decreased temporarily, returning to the gained ROM at final follow-up.

Table 2

### Subgroup Analysis for Patients Undergoing TKA and Patients Undergoing Arthroscopy or Arthroscopically Assisted Ligament Reconstruction

Variable	TKA vs Control <sup>a</sup>			Arthroscopy vs Control <sup>b</sup>		
	TKA	Control	P	Arthroscopy	Control	P
Cases, No.	36	34		22	12	
Age, mean (range), y	60 (37-82)	33 (18-50)	.001	35 (18-50)	29 (18-49)	.19
T <sub>surgery</sub> to T <sub>admission</sub> <sup>c</sup> , mean±SD, d	108±90.7	83±33.7	.24	84±36.8	80.5±28.6	.94
T <sub>admission</sub> to T <sub>discharge</sub> <sup>c</sup> , mean±SD, d	7.3±2.5	6.7±3.2	.21	7.3±3.7	5.8±1.8	.18
ROM at T <sub>surgery</sub> <sup>c</sup> , mean±SD						
Flexion	108°±26°	114°±35°	.09	106°±39°	126°±24°	.27
Extension lag	5°±6°	2°±5°	.04	3°±5°	1°±4°	.44
ROM at T <sub>admission</sub> <sup>c</sup> , mean±SD						
Flexion	75°±22°	73°±24°	.75	78°±22°	62°±24°	.54
Extension lag	8°±8.174°	3°±6°	.01	4°±7°	1°±4°	.12
ROM at T <sub>catheter</sub> <sup>c</sup> , mean±SD						
Flexion	115°±7°	125°±13°	.006	124°±12°	126°±14°	.75
Extension lag	1°±3°	1°±3°	.01	1°±3°	1°±2°	.91
ROM at T <sub>discharge</sub> <sup>c</sup> , mean±SD						
Flexion	106°±14°	112°±22°	.11	110°±23°	115°±20°	.69
Extension lag	1°±3°	1°±2°	.008	1°±3°	0°±1°	.93
ROM at T <sub>6weekFU</sub> <sup>c</sup> , mean±SD						
Flexion	100°±14°	118°±23°	.001	119°±22°	114°±27°	.60
Extension lag	3°±5°	1°±3°	.33	2°±4°	1°±2°	.14
ROM at T <sub>last FU</sub> <sup>c</sup> , mean±SD						
Flexion	110°±19°	129°±14°	.001	131°±13°	125°±17°	.47
Extension lag	2°±4°	0°±1°	.03	0°±2°	0°±2°	.96

Abbreviations: FU, follow-up; ROM, range of motion; T, time; TKA, total knee arthroplasty.

<sup>a</sup>Patients who did not undergo total knee arthroplasty.

<sup>b</sup>Patients who underwent surgery for patellofemoral disorders.

Despite the use of continuous femoral and sciatic nerve block being controversial for postoperative pain treatment,<sup>16</sup> they are currently used in different regimens for postoperative analgesia.<sup>17</sup> Because the use of perineural catheters for the upper extremity has successfully been described for the ambulant treatment of adhesive capsulitis manipulation,<sup>14</sup> and due to the low success rate of other treatments, a perineural catheter-based approach for mobilization seemed a logical consequence.

Several techniques have been used in patients with knee arthrofibrosis for whom

outpatient physiotherapy has failed, including MUA, low-stretch devices, and open or arthroscopic debridement. Two large series analyzing the outcome after TKA reported a prevalence of 1.3%<sup>18</sup> and 5.3%.<sup>19</sup> The incidence of loss of motion after anterior cruciate ligament (ACL) reconstruction has been reported to vary between 2% and 6% but may rise to 30% to 57% in patients with combined ACL and posterior cruciate ligament reconstructions for knee dislocation.<sup>20-23</sup> Manipulation under anesthesia is recommended by many authors as a first-line treatment

for knee stiffness following TKA in patients for whom physical therapy fails and whose motion deficits are not attributed to surgical error.<sup>24</sup> Most authors recommend MUA as an early treatment for stiffness after TKA, starting as early as 6 to 12 weeks following the initial surgery.<sup>19</sup> The mobilization intervention in the current study was performed at a mean of 96 days postoperatively.

Several complications are associated with MUA, including fractures, wound dehiscence, patellar ligament avulsion, hemarthrosis, heterotopic bone formation,

initiation of complex regional pain syndrome, and pulmonary embolism.<sup>3,8-11,25</sup> In the current study, 1 patient fell because of weakness due to an insensate lower extremity after perineural catheter activation, and in another patient, the catheters were removed after 6 days because of perifocal soft tissue inflammation.

In the current study, overall mean flexion improved from 74° before treatment to 109° at discharge. Overall mean extension lag decreased from 5.4° to 0.4° at discharge. In the TKA group, mean flexion improved from 76° to 106°, and overall extension lag decreased from 8° to 1°. This is a mean improvement in total ROM of 37° for patients undergoing TKA. These results are comparable with those in previous studies using MUA for knee stiffness after TKA. The reported mean gain in ROM is 20° to 47°.<sup>3,4,7,26,27</sup> Several studies of MUA reported a substantial decrease in ROM over time, which could be explained by a lack of physiotherapy or an abnormal inflammatory response caused by the manipulation.<sup>3,19,28</sup> Forceful manipulation can instantly tear the adhesions and fibrous bands, which results in an immediate gain in ROM. However, it may also cause massive inflammation, which decreases ROM over time.<sup>7</sup> This effect was not observed in the current study population. The authors witnessed small gains of ROM each day of treatment instead of the one large improvement typically seen with MUA.

Namba and Inacio<sup>29</sup> demonstrated that, typically, flexion contractures are not significantly improved after MUA. Knee flexion contractures may place disproportionate strain on the quadriceps muscle and patellofemoral joint, severely impairing function. Thus, a significant increase in extension may have a substantial effect on gait and may contribute significantly to improved function.<sup>5,7</sup> The current study population displayed an improvement in extension lag, with the results persisting to final follow-up.

A paucity of information is available in the literature concerning the revision

rate after knee MUA. Tjoumakaris et al<sup>30</sup> studied arthroscopic lysis of adhesions for stiff TKA after failed MUA. They reported that 39 (21%) of 186 patients required an arthroscopic procedure to lyse the adhesions after MUA. Choi et al<sup>31</sup> reported that 15 (12.3%) of 82 patients underwent revision MUA after primary TKA because of remaining stiffness. The revision rate for persistent knee stiffness after manipulation under perineural nerve catheters in the current patient cohort was 15.7%, which is in accordance with the current literature after knee MUA.

A limitation of the current study is the lack of randomization, which might have allowed for undetected bias. In addition, several observers measured ROM and used a standard goniometer for the measurements in daily clinical practice, which may limit the accuracy of the collected data, despite the fact that all observers are experienced in the measurement procedure. Thus, reliability studies have shown that on repeated measures, the standard goniometer demonstrates good overall intratester and intertester reliability.<sup>32</sup> Moreover, patient satisfaction with the outcome was not recorded during the course of the study. The in-hospital protocol might seem excessive in countries used to working with ambulant patients in the postoperative period.

However, in other hospitals, patient discharge with an insensate lower extremity is not allowed. A survey by Klein et al<sup>33</sup> including 2382 peripheral nerve blocks demonstrated that discharge with an insensate upper extremity was prevalent but discharge with an insensate lower limb was uncommon and remains controversial due to the feared complications of stumbling and falling. This fear is based on clinical trials on healthy volunteers, where nerve blocks have been shown to impair proprioception, with joint stiffness possibly being responsible for falls.<sup>34,35</sup> In addition, several case reports and reviews in the literature describe the risks of an insensate lower extremity in the ambulant

setting.<sup>36,37</sup> Recent cost analysis studies show that ambulant perineural catheters for the lower extremity in other settings are cost-effective without increasing complications, although a translation to blocks of the proximal lower extremity remains speculative.<sup>38,39</sup>

Finally, the lack of a matched control group precludes direct comparison of the results of the described treatment protocol with other nonoperative interventions for treating knee stiffness.

To the authors' knowledge, this is the first study evaluating the application of perineural catheters of the lower extremity for an in-house physiotherapy program in nonoperative patients and the longer-term effects of this setting on ROM. Additional benefits of this novel approach may be better elucidated in future studies when it is applied to a single surgical procedure. Due to the economic realities in different countries, a prospective, randomized study comparing a perineural catheter-based regimen with a nonoperative intervention in the ambulant setting (using short-acting local anesthetics) is warranted.

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